



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: R. Baliga et al. Attorney Docket No.: AESI121487  
Application No.: 10/620,101 Group Art Unit: 3641  
Filed: July 14, 2003 Examiner: --  
Title: INTEGRATED HEAD ASSEMBLY FOR A NUCLEAR REACTOR

INFORMATION DISCLOSURE STATEMENT

Seattle, Washington 98101

October 24, 2003

TO THE COMMISSIONER FOR PATENTS:

Applicants are aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

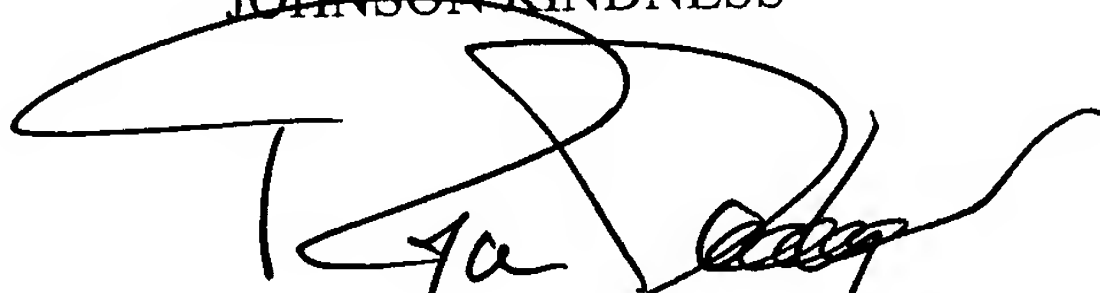
1. ☐ Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
2. ☒ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 10/012,193, filed December 5, 2001. The references listed on the attached form were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application.
3. ☒ Pursuant to 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the national application (other than a CPA), within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, before the mailing date of a first Office Action on the merits, or before the mailing date of a first Office Action after the filing of an RCE.
4. ☒ The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the

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application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

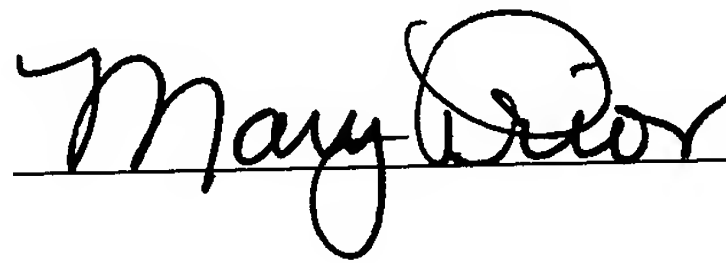
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date.

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INFORMATION CITED BY APPLICANTS THAT MAY BE MATERIAL TO THE  
PROSECUTION OF THE SUBJECT APPLICATION

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U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	Document No.	Kind Code	Date (mm/dd/yyyy)	Name
	U1	4,666,657	A	05/19/1987	Altman
	U2	4,678,623	A	07/07/1987	Malandra et al.
	U3	4,828,789	A	05/09/1989	Hankinson et al.
	U4	4,830,814	A	05/16/1989	Altman
	U5	5,225,150	A	07/06/1993	Malandra et al.
	U6	5,715,288	A	02/03/1998	Matteson
	U7	5,742,652	A	04/21/1998	Hankinson et al.
	U8	5,930,321	A	07/27/1999	Harkness et al.
	U9	6,061,415	A	05/09/2000	Harkness et al.

FOREIGN PATENT DOCUMENTS

*Examiner Initial	Cite No.	Document No.	Kind Code	Publication Date (mm/dd/yyyy)	Country	English Abstract Provided	Translation Provided
NONE							

OTHER INFORMATION

(Including Author, Title, Date, Pertinent Pages, Etc.)

*Examiner Initial	Cite No.	
	O1	Ball, M.G., et al., "Plant Equipment Improvements for Outage Optimization," <i>13th Korean Atomic Industry Forum/Korean Nuclear Society Annual Conference</i> , Seoul, Korea, 1998, pp. 483-495.

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\*Examiner Cite  
Initial No.

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O2 Kim, I.-Y., et al., "Integrate Head Assembly for Korean Next Generation Reactor," *Transactions of the 15th International Conference on Structural Mechanics in Reactor Technology*, Seoul, Korea, August 15-20, 1999, pp. XI 315-321.

Examiner

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Date Considered

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\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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